

**INDIVIDUAL**

Name: .....

Address: .....

.....

.....

Post Code: .....

*If less than 3 years, give details of your previous address:*

.....

.....

**Contact Details**

Telephone: .....

Mobile: .....

Email: .....

**Personal Details**

Date of Birth: .....

Marital Status: .....

National Insurance No.: .....

Occupation: .....

**Employment Details (First named applicant)**  
*(If first named applicant is not presently employed, then second named applicant should complete)*

Name of Employer: .....

Job Title: .....

Address: .....

.....Postcode.....

Time with Employer: .....Year(s).....Months.....

Are you FSA Registered? YES  NO

Are you self-employed? YES  NO

Are you retired? YES  NO

**Bank Details**

Bank/Building Society Name: .....

Address: .....

..... Post Code.....

Account Holders Name: .....

Account No:

Sort Code:

**JOINT**

Name: .....

Address: .....

.....

.....

Post Code: .....

*If less than 3 years, give details of your previous address:*

.....

.....

**Contact Details**

Telephone: .....

Mobile: .....

Email: .....

**Personal Details**



Date of Birth: .....

Marital Status: .....

National Insurance No.: .....

Occupation: .....

**Switch/Delta Card Details**  
*Please complete this form where you intend to settle purchase trades by using a Switch Or Delta Card.*

Type of Card:   

Card No: .....

Name on Card: .....

Valid From Date:  Expiry Date:

Issue Number:  (switch only)

If you have not been at your present bank for more than 3 months, please provide details of your previous bank:

Bank/Building Society: .....

Address: .....

Account Holders Name: .....

Account No.:

Sort Code:

**Identity Verification Requirements**

Under UK law, we are required to obtain from all applicants verifications of their identity through the provision of official documents evidencing full name and residential address. In signing this agreement, you are confirming your understanding of this and that your identity verifications are true and legally correct.

I enclose an original bank statement of an account held in my/our sole/joint name(s) containing my/our current registered address (must be no more than **3 months old**)

AND I enclose **One** original (\*or certified copy) of the following, **containing my full name:**

Driving Licence\*                       Recent Mortgage Statement from recognised lender  Taxation document (current year)

Council Tax bill (current year)  Inland Revenue notice of coding                       Passport\*

Utility bill (no more than 3 months old. Please note that mobile phone bills CANNOT be accepted)

I wish to be able to purchase Warrants / Securitised Derivatives / Convertible Bonds / Nil Paid Rights / Structured Products through Bridge Hall Stockbrokers Limited ('BHSL') on an execution-only basis and can confirm that I have no objection to undergoing a separate Appropriateness Assessment prior to trading.

I wish to have my investments certificated and registered in my own name. I understand that I will be liable to higher dealing and administrative charges. My ability to deal may be restricted due to the settlement system surrounding certificated dealing.

I do not wish to have uninvested monies to be held on deposit by BHSL's custodian. I wish all free monies to be paid to me directly.

For those potential clients who are aged 80 and over, please be aware that it is not usually BHSL policy to recommend high risk investments as these types of securities are usually in the early stages of development and may be difficult to realise in whole or in part. These are customarily medium-term holds i.e. 2-5 years. However, if you have taken professional advice and are sure that you are interested in this area of the market; please confirm same by endorsing the appropriate box

**In signing this Agreement, I confirm that I have read and understood the Retail Client Terms and Conditions that relate to this Agreement and agree to be bound by them in my dealings with BHSL and that I have received a copy of the BHSL Charges Schedule. I agree to my investments being registered into the nominee company used by BHSL and any free monies held on deposit, unless noted otherwise in the tick boxes above.**

**I also confirm that I am over 18 years of age and that the information I have provided in this Agreement is true and correct.**

**Signature**

**X**  (Sole) Dated:

**X**  (Joint) Dated:

**How did you hear about us? Please give details of source:**

NEWSPAPER/MAGAZINE ..... TV CHANNEL .....

WORD OF MOUTH ..... OTHER .....

**Disclaimer**

You should be aware that prices and values can go down as well as up and their income can fluctuate. You may get back less than the amount you invested. Past performance is not necessarily indicative of future performance. Some investments carry a higher degree of risk than others such as shares in smaller companies or funds invested in them. If you are in any doubt you should consult your investment adviser.

**Data Protection Act**

We may process information relating to you (including personal data defined under the Data Protection Act 1998), including holding such information in a manual format or electronic database, in order to satisfy any contractual, regulatory or statutory requirements we may have. Unless we receive specific written instructions to the contrary, by providing this information you agree that we may process this information in order to fulfil such obligations.

We have associated companies. You agree that we may disclose information about you to any associated company for any purpose. We, and our associates, will hold all the information you provide for administration, marketing and risk assessment purposes, in order to provide the best possible service. By signing the Application Form, you consent to your personal information being used in this manner. If you do not wish your information to be used for marketing purposes, please tick the following box.